O 1 P E AUG 0 3 2004

Patent 23086-81003

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Group Art Unit: 3761

ALEX STENZLER

Examiner: To Be Assigned

Serial No.: 10/622,188

Filed: July 17, 2003

For: PULMONARY DRUG DELIVERY

DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, the items identified in the attached Information Disclosure Statement ("IDS") form PTO-1449 are brought to the attention of the U.S. Patent and Trademark Office. Copies of the references are being submitted.

The items identified in this IDS may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicant as such.

08/04/2004 JBALINAN 00000050 501597 10622188

01 FC:1806

180.00 DA

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that I have a reasonable basis to expect that this paper (along with any referred to as being attached or enclosed) will be deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

204

Melody K. Outierrez

This Information Disclosure Statement herewith is being filed under 37 C.F.R. § 1.97(c), which is before the mailing date of a Final Action under 37 CFR § 1.113, a Notice of Allowance under 37 C.F.R § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the fee of \$180.00 set forth in 37 C.F.R § 1.17(p).

Please charge the amount of \$180.00 to Sidley Austin Brown & Wood's Deposit Account No. 50-1597. The Commissioner is hereby authorized to charge any additional fee required and credit any overpayment to Sidley Austin Brown & Wood's Deposit Account No. 50-1597.

Respectfully submitted,

SIDLEY AUSTIN BROWN & WOOD

Dated:

Rv.

Samuel N. Tiu

Reg. No. 47,997

555 West Fifth Street, Suite 4000 Los Angeles, CA 90013 (213) 896-6000

Customer No. 34492

2

•				
FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.		
	23086-81003	10/622,188		
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANTS	APPLICANT:			
INFORMATION DISCLOSURE STATEMENT S	Alex Stenzler			
/ · · · · · · · · · · · · · · · · · · ·	FILING DATE:	GROUP:		
(Use several sheets if necessary) AUG 0 3 2004 🔨	July 17, 2003	3761		

			U.S.Y	TENT DE CUMENTS				
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FII D	ING ATE
	AA	5,709,202	01/1998	Lloyd et al.	128	200.14	05/19	93
	AB	5,826,570	10/1998	Goodman et al.	128	200.14	04/19	97
	AC							
•	AD .							
	AE							
	AF							
	AG							
_	AH							
	AI							
	AJ							
	AK							
	AL						,	
			FOREIG	N PATENT DOCUMENTS				
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATIO NO
	AM	DE 197 27 687 A	01/1999	DE	A61M	15/00		X
	AN	WO 00/38770	07/2000	PCT	A61M	11/00		
	AO	WO 92/17231	10/1992	PCT	A61M	11/00		
		OTHER DOCUME	ENTS (Inclu	ding Author, Title, Date, Perti	nent Pages, etc.)		
	AP	European Search Report	for EP Appl	ication No. 01965999.4				
	AQ							
	AR			•				

EXAMINER:	DATE CONSIDERED:					
EXAMINER: Initial if reference is consi	NER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609:					

Draw line through citation if not in conformance and not considered. Include a copy of this form with

Information Disclosure Statement – Section 9 PTO-1449